

SCHEDULE OF MONETARY PENALTIES: LIQUOR LICENCE ACT AND ITS REGULATIONS

Pursuant to section 14.1 of the *Alcohol and Gaming Regulation and Public Protection Act, 1996*, the following is the schedule of monetary penalties that the Board of the Alcohol and Gaming Commission of Ontario has established, and the Minister of Government Services has approved, for contraventions of the *Liquor Licence Act* and its regulations:

Liquor Licence Act

| SECTION | REGULATION | MAXIMUM PENALTY |
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| 16 | Except as permitted by the regulations, if there is a prescribed change of ownership of a business carried on under a licence or a change of licensee, no person shall keep for sale, offer for sale or sell liquor, deliver liquor for a fee or operate a ferment on premise facility under the authority of the licence unless the licence is transferred by the Registrar in accordance with this Act and the regulations. 2006, c. 34, s. 16 (26). | Up to \$5,000 |
| 22 (3) | A manufacturer's licence is subject to such conditions as may be imposed by the Registrar or prescribed. R.S.O. 1990, c. L.19, s. 22 (3); 2002, c. 18, Sched. E, s. 7 (4); 2006, c. 34, s. 16 (41). | Up to \$3,000 |
| 30.1 (1) | No person shall sell or supply liquor or offer to sell or supply liquor from a prescribed premises unless, <ul style="list-style-type: none"> (a) the premises prominently displays a warning sign containing the prescribed information that cautions women who are pregnant that the consumption of alcohol during pregnancy is the cause of Fetal Alcohol Spectrum Disorder; (b) the sign is posted at the premises in accordance with the prescribed criteria; and (c) the sign satisfies any other criteria that are prescribed. 2004, c. 12, s. 1. | Up to \$750 |

Licences to Sell Liquor
O. Reg. 719/90

| SECTION | REGULATION | MAXIMUM PENALTY |
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| 16(1) | The holder of a licence to sell liquor shall not carry on the business to which the licence applies under a name other than the name set out on the licence. R.R.O. 1990, Reg. 719, s. 16 (1). | Up to \$750 |
| 17(1) | The holder of a licence to sell liquor shall not supply liquor to a person except in accordance with the conditions of the liquor sales licence. O. Reg. 230/03, s. 12. | Up to \$3,000 |
| 17(2) | The licence holder shall ensure that liquor is offered for sale, sold and served only under the supervision of an employee authorized by the licence holder for the purpose. O. Reg. 230/03, s. 12. | Up to \$2,000 |
| 17(3) | The licence holder shall ensure that no liquor is sold or served from a vending machine. O. Reg. 230/03, s. 12. | Up to \$2,000 |
| 18 | The holder of a licence to sell liquor shall not substitute one type of liquor for another in a customer's drink unless the customer consents to the substitution. R.R.O. 1990, Reg. 719, s. 18. | Up to \$2,000 |
| 18.1 | The holder of a licence to sell liquor shall not require a person to purchase a minimum number of drinks in order to gain entry to, or remain on, the premises to which the licence applies. O. Reg. 230/03, s. 13. | Up to \$2,000 |
| 18.2 (1) | The holder of a licence to sell liquor shall not permit contests on the premises to which the licence applies which involve the purchase or consumption of liquor. O. Reg. 230/03, s. 13. | Up to \$2,000 |
| 18.2 (2) | The licence holder shall not permit contests which require a patron to remain on the premises in order to receive a prize except in the case of break open tickets, raffles and bingo games held on premises located in a bingo hall referred to in section 15 of Ontario Regulation 68/94 (Registration of Suppliers and Gaming Assistants — Games of Chance Held under a Licence) made under the <i>Gaming Control Act, 1992</i> pursuant to a lottery licence issued to a charitable or religious organization pursuant to clause 207 (1) (b) of the <i>Criminal Code</i> (Canada). O. Reg. 563/06, s. 1. | Up to \$2,000 |
| 18.2 (3) | Except as permitted in subsection 33 (2), the licence holder shall not permit free liquor to be offered or given to a patron as a prize in a contest. O. Reg. 230/03, s. 13. | Up to \$2,000 |
| 20(1),(2), (3),(5) | <p>(1) The holder of a licence to sell liquor shall not engage in or permit practices that may tend to encourage patrons' immoderate consumption of liquor. O. Reg. 354/07, s. 3.</p> <p>(2) Without restricting the generality of subsection (1), the licence holder shall not advertise the availability of complimentary liquor and may supply complimentary servings of liquor only in circumstances that are consistent with not encouraging the immoderate consumption of liquor and only for the purpose of customer relations.</p> <p>(3) Without restricting the generality of subsection (1), the licence holder shall not offer for sale or supply a serving of liquor for less than a total purchase price of two dollars, including retail sales tax, goods and services tax and any other applicable taxes. O. Reg. 354/07, s. 3.</p> | Up to \$2,000 |

| SECTION | REGULATION | MAXIMUM PENALTY |
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| | (5) If a licence holder offers for sale a serving of liquor that differs from the volume prescribed in subsection (4), the minimum price for that serving shall increase or decrease in direct proportion to the difference in volume of liquor contained in that serving. O. Reg. 354/07, s. 3. | |
| 21 | The holder of a licence shall not directly or indirectly request, demand or receive any financial or material benefit from a manufacturer of liquor or a representative or an employee of the manufacturer. R.R.O. 1990, Reg. 719, s. 21. | Up to \$5,000 |
| 21.1 | The holder of a licence shall not have or permit on the licensed premises a device, known as an alcohol without liquid vaporizer, designed or marketed for the purpose of mixing alcohol with oxygen or another gas to produce a mist for inhalation. O. Reg. 354/07, s. 4. | Up to \$1,000 |
| 23 (1), (2) | <p>(1) The licence holder shall not operate or permit to be operated at the premises to which the licence applies any business other than,</p> <ul style="list-style-type: none"> (a) the sale and service of liquor and food; (b) the sale of articles incidental to the sale and service of liquor and food; (c) the sale of lottery tickets distributed under a government licence; or (d) the provision of entertainment ancillary to the sale and service of liquor and food. R.R.O. 1990, Reg. 719, s. 23 (1). <p>(2) The premises for which a licence is primarily for the sale and service, for consumption on the premises, of light meals and liquor. R.R.O. 1990, Reg. 719, s. 23 (2).</p> | Up to \$2,000 |
| 25 (1), (2) | <p>(1) Except for December 31, liquor may be sold and served only between 11 a.m. on any day and 2 a.m. on the following day. O. Reg. 163/96, s. 5.</p> <p>(2) On December 31, liquor may be sold and served only between 11 a.m. on any day and 3 a.m. on the following day. O. Reg. 163/96, s. 5.</p> | Up to \$3,000 |
| 28 | <p>Liquor may be sold and served on a boat only when,</p> <ul style="list-style-type: none"> (a) the boat is being used for the primary purpose of transporting its passengers; and (b) the boat is underway or would be underway but for unforeseen circumstances or the operator has indicated that the boat will soon be underway. | Up to \$3,000 |
| 29 | The licence holder shall ensure that evidence of liquor that has been served and consumed on the premises is removed within forty-five minutes after the end of the period during which liquor may be sold and served under the licence. R.R.O. 1990, Reg. 719, s. 29. | Up to \$2,000 |
| 30 (2) | The licence holder shall not employ a person under eighteen years of age to sell or serve liquor on the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 30 (2). | Up to \$1,000 |
| 31 (1) | <p>The licence holder may keep for sale, sell and serve,</p> <ul style="list-style-type: none"> (b) only liquor that the licence holder has purchased from a government store; | Up to \$3,000 + confiscation of liquor |

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| | (c) only liquor that the licence holder purchased under the licence. R.R.O. 1990, Reg. 719, s. 31 (1); O. Reg. 230/03, s. 20. | |
| 32 (1) | A licence holder who offers spirits, beer or wine for sale shall keep in stock and offer for sale a variety of liquor products of a variety of manufacturers. O. Reg. 230/03, s. 21. | Up to \$2,000 |
| 33 (1) | The licence holder shall not permit liquor, other than liquor purchased by the licence holder under licence from a government store, to be brought onto the premises to which the licence applies or that are used in connection with the sale and service of liquor, including the liquor and food preparation area and storage areas. O. Reg. 31/94, s. 7. | Up to \$3,000 + confiscation of liquor |
| 34 (1) | The licence holder shall not permit a patron to remove liquor from the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 34. | Up to \$2,000 |
| 35 (1) | The licence holder shall ensure that light meals are available for sale to patrons on the premises. R.R.O. 1990, Reg. 719, s. 35 (1). | Up to \$1,000 |
| 36 | The licence holder shall ensure that meals sold and served on the premises to which the licence applies are prepared on the premises or on nearby premises that are under the control of the licence holder. O. Reg. 346/93, s. 6. | Up to \$1,000 |
| 38 | The licence holder shall ensure that a variety of non-alcoholic beverages is available for sale at moderate prices in relation to the prices charged for liquor. R.R.O. 1990, Reg. 719, s. 38. | Up to \$1,000 |
| 39 | On and after January 1, 2008, all current and new licence holders shall ensure that all managers, persons involved in the sale or service of liquor and security staff within 60 days of the commencement of their employment, a certificate demonstrating the successful completion of a server training course approved by the Board. O. Reg. 354/07, s. 7. | Up to \$2,000 |
| 40 | The licence holder shall ensure that the licence holder and any person involved in the sale or service of liquor, providing security or management services, including managers of the premises, have successfully completed any applicable training courses or programs approved by the Board within the periods specified by the Board. O. Reg. 354/07, s. 7. | Up to \$2,000 |
| 43 | The licence holder shall ensure that the number of persons on the premises to which the licence applies, including employees of the licence holder, does not exceed the capacity of the licensed premises as stated on the licence. O. Reg. 346/93, s. 7. | Up to \$5,000 |
| 44 (1) | <p>The licence holder shall ensure that no person enters behind the bar at the premises to which the licence applies during the hours that liquor is sold or served unless the person is,</p> <ul style="list-style-type: none"> (a) an employee authorized by the licence holder to enter behind the bar; (b) a representative of a manufacturer who is in the course of carrying out his or her duties; (c) an employee of the Alcohol and Gaming Commission of Ontario; (d) a government inspector who is in the course of carrying out his or her duties; or | Up to \$1,000 |

| SECTION | REGULATION | MAXIMUM PENALTY |
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| | (e) a police officer. R.R.O. 1990, Reg. 719, s. 44 (1); O. Reg. 63/98, s. 15; O. Reg. 230/03, s. 24. | |
| 45 (1) | The licence holder shall not permit drunkenness, unlawful gambling or riotous, quarrelsome, violent or disorderly conduct to occur on the premises or in the adjacent washrooms, liquor and food preparation areas and storage areas under the exclusive control of the licence holder. R.R.O. 1990, Reg. 719, s. 45 (1); O. Reg. 230/03, s. 25. | Up to \$5,000 |
| 45.1 | The licence holder shall ensure that reasonable measures are in place and reasonable efforts are made to deter disorderly conduct on property adjacent to and in the vicinity of the premises and to minimize damage, nuisance or other harm to such property arising out of disorderly conduct engaged in by patrons of the licence holder or persons attempting or waiting to enter the premises or leaving the premises. O. Reg. 354/07, s. 9. | Up to \$5,000 |
| 45.2 | The licence holder shall ensure that the licence holder or a manager appointed by the licence holder maintains control over the premises, including exercising control over who is permitted to enter the premises or remain on the premises and the activities that are permitted to occur on the premises. O. Reg. 354/07, s. 9. | Up to \$5,000 |
| 46 | The holder of a licence that applies to outdoor premises shall not permit noise that arises directly or indirectly from entertainment on the premises or from the sale and service of liquor to disturb persons who reside near the premises. R.R.O. 1990, Reg. 719, s. 46. | Up to \$3,000 |
| 47 | (1) Liquor belonging to the licence holder must be stored adjacent to the premises for which the licence is issued. O. Reg. 230/03, s. 26. (2) Despite subsection (1), liquor may be stored in a convenient location near the premises if the premises is a railway car or a boat or if it is not practical to store the liquor adjacent to the premises. O. Reg. 230/03, s. 26. (3) The licence holder shall notify the Registrar of Alcohol and Gaming of the location of any liquor stored away from the premises pursuant to subsection (2). O. Reg. 230/03, s. 26. | Up to \$2,000 |
| 49 | The licence holder shall not alter the boundaries of the premises to which the licence applies without the prior written consent of the Registrar of Alcohol and Gaming. O. Reg. 63/98, s. 17. | Up to \$2,000 |
| 52 | The licence holder shall post the licence in a conspicuous place in the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 52. | Up to \$750 |
| 53 | The licence holder shall make available to, or shall post in locations visible to, persons on the premises lists describing, (a) the varieties of liquor available for sale; (b) the amount of liquor in each type of drink offered for sale; (c) the varieties of non-alcoholic beverages available for sale; and (d) the purchase price of the liquor and the non-alcoholic beverages. O. Reg. 230/03, s. 27. | Up to \$1,000 |
| 54 | (1) The licence holder shall retain for one year, | Up to |

| SECTION | REGULATION | MAXIMUM PENALTY |
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| | <p>(a) records of the purchases of liquor offered for sale in the premises to which the licence applies; and</p> <p>(b) records of the sales of liquor in the premises to which the licence applies. O. Reg. 230/03, s. 28.</p> <p>(2) The records must include purchase invoices. O. Reg. 230/03, s. 28</p> | \$3,000 |
| 57 | <p>(1) The holder of a liquor sales licence with a brew pub endorsement shall ensure that the conditions of the endorsement that are set out in this section are met. R.R.O. 1990, Reg. 719, s. 57 (1).</p> <p>(2) The beer manufactured by the licence holder must be manufactured at an establishment located at the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 57 (2).</p> <p>(3) The beer manufactured by the licence holder must be sold and consumed only,</p> <ul style="list-style-type: none"> (a) on the premises to which the licence holder's licence applies; (b) on one premises other than the premises where the beer is manufactured if, <ul style="list-style-type: none"> i. the licence holder has at least a 51 per cent interest in the business carried on at the other premises, and ii. a liquor sales licence applies to the other premises; or (c) in accordance with any caterer's endorsement attached to the licence holder's licence. O. Reg. 354/99, s. 1 (1). <p>(4) The beer manufactured by the licence holder must not contain more than 6.5 per cent alcohol by volume. R.R.O. 1990, Reg. 719, s. 57 (4).</p> <p>(5) A sign stating the percentage alcohol content of the beer manufactured by the licence holder must be posted in a conspicuous place on the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 57 (5).</p> <p>(6) The beer manufactured by the licence holder must be manufactured in accordance with the standards established under the <i>Food and Drugs Act</i> (Canada). R.R.O. 1990, Reg. 719, s. 57 (6).</p> <p>(7) The licence holder shall make a daily record indicating the amount of beer manufactured for sale and the amounts of beer sold at each location referred to in subsection (3), and shall retain the records for two years. O. Reg. 354/99, s. 1 (2).</p> | Up to \$1,000 |
| 58 | <p>(1) The holder of a liquor sales licence with a wine pub endorsement shall ensure that the conditions of the endorsement that are set out in this section are met. R.R.O. 1990, Reg. 719, s. 58 (1).</p> <p>(2) The wine manufactured by the licence holder must be manufactured at an establishment located at the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 58 (2).</p> <p>(3) The wine manufactured by the licence holder must be sold and</p> | Up to \$1,000 |

| SECTION | REGULATION | MAXIMUM PENALTY |
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| | <p>consumed only on the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 58 (3).</p> <p>(4) The wine manufactured by the licence holder must not contain more than 14 per cent alcohol by volume. R.R.O. 1990, Reg. 719, s. 58 (4).</p> <p>(5) A sign stating the percentage alcohol content of the wine manufactured by the licence holder must be posted in a conspicuous place on the premises to which the licence applies. R.R.O. 1990, Reg. 719, s. 58 (5).</p> <p>(6) The wine manufactured by the licence holder must be manufactured in accordance with the standards established under the <i>Food and Drugs Act</i> (Canada). R.R.O. 1990, Reg. 719, s. 58 (6).</p> <p>(7) The licence holder shall make a daily record indicating the amount of wine manufactured for sale and the amount sold on the premises to which the licence applies and shall retain the records for two years. R.R.O. 1990, Reg. 719, s. 58 (7).</p> | |
| 61 | Liquor may be sold or served only at events at which light meals are also available. R.R.O. 1990, Reg. 719, s. 61. | Up to \$1,000 |
| 63.1(1) | <p>At least 10 days before a catered event begins, a holder of a liquor sales licence with a caterer's endorsement shall provide to the Registrar of Alcohol and Gaming and the local police, fire, health and building departments details concerning,</p> <ul style="list-style-type: none"> (a) the nature of the event and the name of the sponsor; (b) the address at which the event will be held; (c) the dates on which and the hours during which the event will be held; (d) the estimated attendance for the event; and (e) the boundaries of the area within which liquor will be sold and served. O. Reg. 354/07, s. 10. | Up to \$2,000 |
| 64(1) | Liquor may be sold and served at events only by the licence holder or by the employees of the licence holder. R.R.O. 1990, Reg. 719, s. 64 (1). | Up to \$1,000 |
| 64(2) | The licence holder shall ensure that employees take a course on server training approved by the board of the Alcohol and Gaming Commission of Ontario. O. Reg. 63/98, s. 20. | Up to \$2,000 |
| 67 | The holder of a liquor sales licence with a room service endorsement shall ensure, as a condition of the endorsement, that food is available for sale with the liquor. R.R.O. 1990, Reg. 719, s. 67. | Up to \$2,000 |
| 70(1) | <p>The licence holder may keep for sale, sell and serve,</p> <ul style="list-style-type: none"> (b) only liquor that the licence holder has purchased from a government store; (c) only liquor that the licence holder purchased under the licence. R.R.O. 1990, Reg. 719, s. 70 (1); O. Reg. 230/03, s. 31. | Up to \$3,000 + confiscation of liquor |
| 71 | The licence holder shall provide a secure area for the storage of liquor. R.R.O. 1990, Reg. 719, s. 71. | Up to \$1,000 |

| SECTION | REGULATION | MAXIMUM PENALTY |
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| 75.1 | <p>The holder of a liquor sales licence with a golf course endorsement shall ensure that the following conditions of the endorsement are met:</p> <ol style="list-style-type: none"> 1. Motorized vending carts used for the sale and service of liquor must be operated by an employee of the holder who is 18 years of age or older. 2. Non-alcoholic beverages must be for sale from motorized vending carts used for the sale and service of liquor. 3. Employees involved in the sale and service of liquor from motorized vending carts, as well as course marshals, must take a course on server training approved by the board of the Alcohol and Gaming Commission of Ontario. 4. A person must not drink or hold any liquor while driving a golf cart on a golf course. O. Reg. 163/96, s. 6; O. Reg. 63/98, s. 21; O. Reg. 354/07, s. 12. | Up to \$3,000 |
| 80(1) | <p>The holder of a liquor sales licence with a stadium endorsement may sell and serve liquor for consumption by patrons in the seating area, including the tiered seats, only,</p> <ol style="list-style-type: none"> (a) during a live sporting event approved by the Registrar of Alcohol and Gaming held at the stadium and during the 90-minute period immediately prior to the event; or (b) during a live entertainment event approved by the Registrar of Alcohol and Gaming held at the stadium and during the 90-minute period immediately prior to the event. O. Reg. 230/03, s. 35. | Up to \$3,000 |
| 83 | <p>The licence holder shall serve liquor to patrons for consumption in the tiered seats only in containers that are distinct in appearance from the containers in which nonalcoholic beverages are served. O. Reg. 247/02, s. 24; O. Reg. 8/05, s. 7.</p> | Up to \$2,000 |
| 86 (1) | <p>The licence holder shall ensure that the security staff in the stadium and persons who serve liquor in the stadium or who are responsible for managing the sale and service of liquor in the stadium take a course approved by the board of the Alcohol and Gaming Commission of Ontario on the service of liquor. O. Reg. 348/92, s. 7; O. Reg. 63/98, s. 25.</p> | Up to \$2,000 |
| 87 | <p>(1) In this section,</p> <p>“public service advertising” means any advertising carrying a strong message against irresponsible use of liquor where the message does not contain any direct or indirect endorsement of liquor, the brand name of liquor or of the consumption of liquor. O. Reg. 247/02, s. 27.</p> <p>(2) Except for public service advertising, the holder of a licence to sell liquor may advertise or promote liquor or the availability of liquor only if the advertising,</p> <ol style="list-style-type: none"> (a) is consistent with the principle of depicting responsibility in use or service of liquor; (b) promotes a general brand or type of liquor and not the | Up to \$3,000 |

| SECTION | REGULATION | MAXIMUM PENALTY |
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| | <p>consumption of liquor in general;</p> <p>(c) does not imply that consumption of liquor is required in obtaining or enhancing,</p> <ul style="list-style-type: none"> i. social, professional or personal success, ii. athletic prowess, iii. sexual prowess, opportunity or appeal, iv. enjoyment of any activity, v. fulfillment of any goal, or vi. resolution of social, physical or personal problems; <p>(d) does not appeal, either directly or indirectly, to persons under the legal drinking age or is not placed in media that are targeted specifically at people under that age;</p> <p>(e) does not associate consumption of liquor with driving a motorized vehicle, or with any other activity that requires care and skill or has elements of physical danger;</p> <p>(f) does not depict motorized vehicles in motion in advertising showing the consumption of liquor, unless the motorized vehicle is a form of public transportation;</p> <p>(g) does not suggest any illegal sale, illegal purchase, illegal gift, illegal handling or illegal consumption of liquor; and</p> <p>(h) is in compliance with guidelines related to advertising issued by the Registrar. O. Reg. 247/02, s. 27; O. Reg. 284/02, s. 3.</p> <p>(3) When premises to which a licence applies are used as a setting for a film or television production, the licence holder may show the name of the establishment if the licence holder complies with the requirements set out in subsection (2). O. Reg. 247/02, s. 27.</p> | |

Special Occasion Permits
O. Reg. 389/91

| SECTION | REGULATION | MAXIMUM PENALTY |
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| 7 | <p>(1) In this section, “public service advertising” means any advertising carrying a strong message against irresponsible use of liquor where the message does not contain any direct or indirect endorsement of liquor, the brand name of liquor or of the consumption of liquor. O. Reg. 248/02, s. 2.</p> <p>(2) Except for public service advertising, a manufacturer may advertise or promote liquor or the availability of liquor only if the advertising,</p> <ul style="list-style-type: none"> (a) is consistent with the principle of depicting responsibility in use or service of liquor; (b) promotes a general brand or type of liquor and not the consumption of liquor in general; (c) does not imply that consumption of liquor is required in obtaining or enhancing, <ul style="list-style-type: none"> i. social, professional or personal success, ii. athletic prowess, iii. sexual prowess, opportunity or appeal, iv. enjoyment of any activity, v. fulfillment of any goal, or vi. resolution of social, physical or personal problems; (d) does not appeal, either directly or indirectly, to persons under the legal drinking age or is not placed in media that are targeted specifically at people under that age; (e) does not associate consumption of liquor with driving a motorized vehicle, or with any other activity that requires care and skill or has elements of danger; (f) does not depict motorized vehicles in motion in advertising showing consumption of liquor, unless the motorized vehicle is a form of public transportation; (g) does not suggest any illegal sale, illegal purchase, illegal gift, illegal handling or illegal consumption of liquor; and (h) is in compliance with guidelines related to advertising issued by the Registrar of Alcohol and Gaming. <p>(3) Despite subsection (2), a permit holder for a</p> | Up to \$3,000 |

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| | reception/private event described in paragraph 1 of section 3 shall not advertise or promote liquor of the availability of liquor. | |
| 11 | The maximum capacity of premises other than railway cars and boats is the maximum capacity determined, <ul style="list-style-type: none"> (a) under the Building Code Act, 1992, if that Act applies with respect to the premises; or (b) under the Fire Prevention and Protection Act, 1997, if clause (a) does not apply and if that Act applies with respect to the premises | Up to \$5,000 |
| 12 | Premises must be defined by a partition that is at least 0.9 metres high and that makes the premises readily distinguishable from adjacent premises to which the permit does not apply | Up to \$5,000 |
| 12.2 | A permit holder shall not supply liquor to any person except in accordance with the conditions of the permit. | Up to \$3,000 |
| 13 | No person shall sell, offer for sale or serve at premises to which a permit applies a drink that contains more than eighty-five millilitres of spirits | Up to \$2,000 |
| 14 | A permit holder shall not substitute one type of liquor for another liquor in a drink of a customer unless the customer consents to the substitution | Up to \$2,000 |
| 15 | A permit holder shall not adulterate liquor by adding any substance to it or keep for sale or sell adulterated liquor | Up to \$2,000 |
| 16 | The permit holder shall not require a person to purchase a minimum number of drinks in order to gain entry to, or remain on, the premises. | Up to \$2,000 |
| 18 | A permit holder shall not engage in or allow practices which may tend to encourage the immoderate consumption of liquor by a person attending the event. | Up to \$2,000 |
| 19 | The permit holder shall not permit contests on the premises to which the permit applies that involve the purchase or consumption of liquor | Up to \$2,000 |
| 20 | The permit holder shall not permit free liquor to be offered or given to a customer as a prize in a contest. | Up to \$2,000 |
| 22 | The permit holder shall provide security sufficient to ensure that unauthorized persons do not attend the event and to ensure that the conditions of the permit and requirements of the Act are observed. | Up to \$5,000 |
| 23 | The permit holder shall ensure that no liquor is sold or served from a vending machine. | Up to \$2,000 |
| 24(5) | The permit holder shall ensure that liquor is sold and served only during the hours specified in the permit. | Up to \$3,000 |
| 25(1) | A permit holder may sell, keep for sale or serve only liquor | Up to \$3,000 |

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| | that the permit holder has purchased from a government store. | |
| 26(1) | Subject to section 34.1 of Regulation 719 of the Revised Regulations of Ontario, 1990 (Licenses to Sell Liquor) made under the Act, the permit holder shall ensure that only liquor purchased under the authority of the permit or otherwise authorized to be served under the permit is brought upon the premises to which the permit applies | Up to \$3,000 |
| 26(2) | Subject to section 34.1 of Regulation 719 of the Revised Regulations of Ontario, 1990 (Licenses to Sell Liquor) made under the Act, the permit holder shall ensure that no liquor sold or served on the premises to which the permit applies is removed from the premises by a person attending the event. | Up to \$2,000 |
| 27 | The permit holder shall not sell or serve liquor unless there is a supply of food sufficient to serve the persons attending the event to which the permit applies | Up to \$1,000 |
| 28 | (1) The permit holder shall ensure that a variety of non-alcoholic beverages are sold or served on the premises to which the permit applies. (2) The permit holder shall ensure that the price of non-alcoholic beverages is less than the price of liquor sold on the premises. | Up to \$1,000 |
| 31(1) | A permit holder shall ensure that no person enters behind the bar during the hours that liquor is sold or served | Up to \$1,000 |
| 32 | A permit holder shall not permit drunkenness, unlawful gambling or riotous, quarrelsome, violent or disorderly conduct to occur on the premises to which the permit applies or in the adjacent washrooms, liquor and food preparation area and storage areas under the exclusive control of the permit holder. | Up to \$5,000 |
| 33 | The permit holder shall post the permit in a conspicuous place on the premises to which the permit applies or shall keep it in a place where it is readily available for inspection. | Up to \$750 |
| 34 | The permit holder shall post the levy receipt for the liquor purchased, if any, in a conspicuous place on the premises to which the permit applies or shall keep it in a place where it is readily available for inspection. | Up to \$750 |
| 35 | The permit holder shall remove all evidence of the service and consumption of liquor within forty-five minutes after the end of the period during which liquor may be sold or served under the permit. | Up to \$2,000 |
| 36(1) | The permit holder shall attend the event to which the permit applies or designate a person to attend in the permit holder's place | Up to \$2,000 |
| 39 | (1) A permit holder shall keep records describing all purchases, sales and stocks of liquor under the permit. (2) The permit holder shall keep the records for six months and shall provide them to the Registrar. | Up to \$3,000 |

Manufacturers' Licences
O. Reg. 720/90

| SECTION | REGULATION | MAXIMUM PENALTY |
|----------|---|-----------------------|
| 2 (1) | A manufacturer of liquor or an agent or employee of a manufacturer shall not directly or indirectly offer or give a financial or material inducement to a person who holds a licence or permit under the Act or to an agent or employee of the person for the purpose of increasing the sale or distribution of a brand of liquor. R.R.O. 1990, Reg. 720, s. 2 (1). | Up to \$50,000 |
| 3 (1) | Except under the authority of a special occasion permit, a manufacturer of liquor shall not give liquor to any person in Ontario. R.R.O. 1990, Reg. 720, s. 3 (1). | Up to \$10,000 |
| 3 (8)(a) | Where liquor is given under subsection (6), the manufacturer shall, (a) keep records of the liquor given, keep the records for two years and provide them to the Registrar of Alcohol and Gaming upon request; | Up to \$10,000 |
| 3 (8)(b) | Where liquor is given under subsection (6), the manufacturer shall, (b) upon request, make a written report to the Registrar of Alcohol and Gaming stating the amount of liquor that was given within 48 hours after the event. O. Reg. 248/02, s. 1. | Up to \$2,000 per day |
| 5 | (1) In this section, "public service advertising" means any advertising carrying a strong message against irresponsible use of liquor where the message does not contain any direct or indirect endorsement of liquor, the brand name of liquor or of the consumption of liquor. O. Reg. 248/02, s. 2. (2) Except for public service advertising, a manufacturer may advertise or promote liquor or the availability of liquor only if the advertising, (a) is consistent with the principle of depicting responsibility in use or service of liquor; (b) promotes a general brand or type of liquor and not the consumption of liquor in general; (c) does not imply that consumption of liquor is required in obtaining or enhancing, i. social, professional or personal success, ii. athletic prowess, iii. sexual prowess, opportunity or appeal, iv. enjoyment of any activity, v. fulfilment of any goal, or | Up to \$50,000 |

| SECTION | REGULATION | MAXIMUM PENALTY |
|---------|---|-----------------------|
| | <p>vi. resolution of social, physical or personal problems;</p> <p>(d) does not appeal, either directly or indirectly, to persons under the legal drinking age or is not placed in media that are targeted specifically at people under that age;</p> <p>(e) does not associate consumption of liquor with driving a motorized vehicle, or with any other activity that requires care and skill or has elements of danger;</p> <p>(f) does not depict motorized vehicles in motion in advertising showing consumption of liquor, unless the motorized vehicle is a form of public transportation;</p> <p>(g) does not suggest any illegal sale, illegal purchase, illegal gift, illegal handling or illegal consumption of liquor; and</p> <p>(h) is in compliance with guidelines related to advertising issued by the Registrar of Alcohol and Gaming. O. Reg. 248/02, s. 2; O. Reg. 283/02, s. 2.</p> <p>(3) When premises to which a licence applies are used as a setting for a film or television production, the licence holder may show the name of the establishment if the licence holder complies with the requirements set out in subsection (2). O. Reg. 248/02, s. 2.</p> | |
| 7 | A manufacturer who is required under the Act to maintain books and records shall keep them in Ontario for a period of at least six years and shall notify the Registrar of Alcohol and Gaming where they are kept for purposes of inspection under the Act. O. Reg. 352/07, s. 1. | Up to \$10,000 |
| 8 | A holder of a manufacturer's licence shall provide the Registrar of Alcohol and Gaming with the financial information he or she requires concerning the activities of persons who hold licences to represent the manufacturer or the advertising and promotional activities of the manufacturer. O. Reg. 64/98, s. 2. | Up to \$2,000 per day |
| 10 | <p>(1) A manufacturer of beer shall forward to the Registrar of Alcohol and Gaming every month a return, in a form approved by the Registrar, showing the gross amount of the manufacturer's beer sales. O. Reg. 64/98, s. 2.</p> <p>(2) A manufacturer of beer shall forward to the Registrar of Alcohol and Gaming upon request a return showing the gross amount of sales for the period requested. O. Reg. 64/98, s. 2.</p> | Up to \$2,000 per day |
| 12 | (1) A holder of a manufacturer's licence shall ensure that any employee of the manufacturer who canvasses for, receives or solicits orders for the sale of liquor made by the manufacturer complies with section 2.1 of Regulation 718 of the Revised | Up to \$10,000 |

| SECTION | REGULATION | MAXIMUM PENALTY |
|---------|--|-----------------|
| | <p>Regulations of Ontario, 1990. O. Reg. 212/98, s. 1.</p> <p>(2) A holder of a manufacturer's licence shall not provide liquor to their employee for delivery to a person who ordered it unless the holder has received a purchase order for it remitted by the employee and has accepted the order. O. Reg. 212/98, s. 1.</p> <p>(3) A holder of a manufacturer's licence shall not provide liquor under subsection (2) except from a government store operated by the holder. O. Reg. 166/96, s. 1.</p> | |

Ferment on Premise Facilities
O. Reg. 58/00

| SECTION | REGULATION | MAXIMUM PENALTY |
|---------|---|-----------------|
| 3(1) | <p>The licensee and the licensee's employees and agents shall ensure that,</p> <ul style="list-style-type: none"> (a) before the end of the customer's first visit to the licensed premises for the purpose of making beer or wine, the licensee transfers to the customer ownership of the ingredients being purchased by the customer from the licensee to make the beer or wine; (b) the customer combines or mixes his or her beer wort, wine juice, wine juice concentrate or other juice or juice concentrate with such of the customer's other ingredients as the customer wishes to add, except that the licensee or the licensee's employees or agents, <ul style="list-style-type: none"> (i) may add finings or stabilizing agents to the customer's other ingredients, (ii) may operate equipment to filter and add carbonation to the customer's ingredients, and (iii) may rack the customer's beer or wine; (c) the customer adds to the beer wort, wine juice, wine juice concentrate or other raw material of beer or wine any yeast or enzymes required to begin the fermentation process; (d) the customer places his or her beer or wine in containers; (e) the customer labels, corks, caps, shrink wraps or packages his or her beer or wine; (f) the customer removes his or her beer or wine from the licensed premises immediately after it has been | Up to \$3,000 |

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| | placed in containers. O. Reg. 58/00, s. 3 (1). | |
| 4(1) | The licensee and the licensee's employees and agents shall not, <ul style="list-style-type: none"> (a) produce on the licensed premises beer or wine for sale or exchange; (b) keep for sale or exchange, offer for sale or exchange, sell or exchange beer or wine on the licensed premises, regardless of who the beer or wine belongs to or who made it; (c) give liquor to any person on the licensed premises; (d) permit on the licensed premises the exchange, sale or giving of beer or wine made by customers. O. Reg. 58/00, s. 4 (1). | Up to \$5,000 |

Liquor Delivery Licences

General

O. Reg. 718/90

| SECTION | REGULATION | MAXIMUM PENALTY |
|-------------|---|---|
| 14 (2.1) | A licence holder whose business address changes shall immediately inform the Registrar of Alcohol and Gaming of the new address. O. Reg. 353/07, s. 3. | Up to \$750 |
| 15, para. 1 | The licence holder shall purchase liquor only from government stores operated by the Liquor Control Board of Ontario or Brewers Retail Inc. as agent for another person who is legally entitled to purchase liquor. | Up to \$3,000 + confiscation of alcohol |
| 15, para. 2 | The licence holder shall not purchase liquor from government stores operated by the Liquor Control Board of Ontario or Brewers Retail Inc. before receiving an order from a person who is legally entitled to purchase liquor. | Up to \$3,000 |
| 15, para. 3 | An order shall include and the licence holder shall record on an order form, <ul style="list-style-type: none"> i. the name and address of the licence holder, ii. the name and address of the person on whose behalf the purchase is made, iii. the kinds and quantities of liquor to be purchased, and iv. the residential address where the liquor is to be delivered. | Up to \$2,000 |
| 15, para. 4 | The licence holder shall deliver the liquor to a person at the residential address listed in the purchase order referred to in paragraph 3. | Up to \$3,000 |
| 15, para. 5 | The licence holder shall obtain a receipt at the time of | Up to \$2,000 |

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| | <p>delivery, signed by the person on whose behalf liquor was purchased or by another person who resides at the place of delivery and who is at least 19 years of age, which states,</p> <ol style="list-style-type: none"> i. the name and address of the person for whom the purchase was made, ii. the date of delivery, iii. the kinds and quantities of liquor delivered, iv. the price paid for liquor purchased, v. the delivery fee, and vi. the name of the person making the delivery. | |
| 15, para. 7 | <p>The licence holder shall charge the following minimum fee for the delivery of liquor:</p> <ol style="list-style-type: none"> i. \$6 in the municipalities of Burlington, Peterborough, Toronto and Welland, ii. \$5.50 in the municipalities of Barrie and Petawawa, iii. \$5 in the municipalities of Niagara Falls, Orillia and St. Catharines, and iv. \$4 in all other municipalities. O. Reg. 233/01, s. 2. | Up to \$1,000 |
| 16 (1) | <p>A holder of a licence to deliver liquor shall deliver liquor on the same day that the liquor is purchased and no later than one hour following the closing of the store from which the liquor was purchased. O. Reg. 233/01, s. 2.</p> | Up to \$3,000 |
| 16 (2) | <p>The licence holder and employees of the licence holder shall carry at all times during the purchase and delivery of liquor a copy of the licence to deliver liquor. O. Reg. 233/01, s. 2.</p> | Up to \$750 |
| 16 (3) | <p>The licence holder shall retain at its place of business, as set out in the licence, a copy of each order form and receipt referred to in section 15 for at least one year from the date of purchase and delivery. O. Reg. 233/01, s. 2.</p> | Up to \$3,000 |
| 18 (1) | <p>A holder of a licence to deliver liquor shall not carry on business as a liquor delivery service under a name other than the name set out on the licence or a name authorized by the Registrar of Alcohol and Gaming under subsection (2). O. Reg. 233/01, s. 2.</p> | Up to \$2,000 |
| 19 | <p>A holder of a licence to deliver liquor shall not permit free liquor to be offered or given to a customer as a prize in a contest. O. Reg. 233/01, s. 2.</p> | Up to \$2,000 |
| 21 (1) | <p>Subject to subsection (2), a licence holder shall not, without the prior approval of the Registrar of Alcohol and Gaming, advertise liquor or the availability of liquor. O. Reg. 233/01, s. 2.</p> | Up to \$3,000 |
| 23 (1) | <p>A holder of a licence to deliver liquor shall not contract out the delivery of liquor. O. Reg. 233/01, s. 2.</p> | Up to \$3,000 |

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| 23.1 | On and after January 1, 2008, all current and new holders of a licence to deliver liquor shall ensure that all managers and other persons, whether employed by or under contract to the licence holder, who are involved with taking liquor orders from customers or in the service or supply of liquor to customers, hold a certificate demonstrating the successful completion of a server training course approved by the board of the Alcohol and Gaming Commission, within 60 days of the commencement of employment or of first being under contract. O. Reg. 353/07, s. 5. | Up to \$2,000 |
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Licence to Represent a Manufacturer
General
O. Reg. 718

| SECTION | REGULATION | MAXIMUM PENALTY |
|------------------|--|-----------------|
| 2.1 (2), para. 2 | The holder shall notify the Registrar of Alcohol and Gaming of the address of any premises described in paragraph 1 within five business days of the opening of such premises. | Up to \$750 |
| 2.1 (2), para. 3 | The holder shall use a form of purchase order referred to in subsection (3) for receiving an order for the sale of liquor by the manufacturer that the holder represents. | Up to \$750 |
| 2.1 (2), para. 4 | The holder shall remit all purchase orders to the Liquor Control Board of Ontario or to a government store operated by the manufacturer and shall not take delivery of the liquor unless the order has been accepted by the Liquor Control Board of Ontario or the manufacturer and a copy of the order is attached to the liquor. | Up to \$1,000 |
| 2.1 (2), para. 6 | The holder shall not keep more than 180 litres of liquor for all of the purposes set out in paragraph 5 and shall keep liquor only at a premises described in paragraph 1. | Up to \$3,000 |
| 2.1 (2), para. 7 | The holder shall ensure that a copy of the purchase order is at all times attached to any liquor that is being kept for delivery to the person who ordered it. O. Reg. 167/96, s. 1; O. Reg. 62/98, s. 2; O. Reg. 353/07, s.1. | Up to \$750 |